

AO 120 (Rev. 3/04)

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| TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 | SOLICITOR REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK |
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JUL 30 2007
U.S. PATENT & TRADEMARK OFFICE

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been
 filed in the U.S. District Court Delaware on the following ☒ Patents or ☐ Trademarks:

| | | |
|---------------------------------|-----------------------|--|
| DOCKET NO. 07cv467 | DATE FILED 7/27/07 | U.S. DISTRICT COURT DISTRICT OF DELAWARE |
| PLAINTIFF Lonza Group AG | | DEFENDANT Northwest Biotherapeutics, Inc. |

| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
|----------------------------|--------------------------------|---|
| 1 5,122,464 | 6/16/92 | Celltech Limited and The University Court of the University of Glasgow |
| 2 5,591,639 | 1/7/97 | Celltech Ltd. |
| 3 5,658,759 | 8/19/97 | Celltech Limited |
| 4 5,770,359 | 6/23/98 | Celltech Therapeutics Limited and The University of the University of Glasgow |
| 5 5,827,739 | 10/27/98 | Celltech Therapeutics Limited and The University of the University of Glasgow |
| 5,879,936 | 3/9/99 | Aluguisse Holding A.G. |
| 5,891,693 | 4/6/99 | Aluguisse Holdings A.G. |
| 5,981,216 | 11/9/99 | Aluguisse Holdings A.G. |

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

| DATE INCLUDED | INCLUDED BY | | | |
|----------------------------|------------------------------------|---------------------------------|-------------------------------------|---|
| | <input type="checkbox"/> Amendment | <input type="checkbox"/> Answer | <input type="checkbox"/> Cross Bill | <input type="checkbox"/> Other Pleading |
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK | | |
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In the above—entitled case, the following decision has been rendered or judgement issued:

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| DECISION/JUDGEMENT |
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